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### ► To cite this version:

Vincent V Planche, Pierrick Coupé, Catherine Helmer, Mélanie Le Goff, Hélène Amieva, et al.. Evolution of brain atrophy subtypes during aging predicts long-term cognitive decline and future Alzheimer's clinical syndrome. *Neurobiology of Aging*, 2019, 79, pp.22-29. 10.1016/j.neurobiolaging.2019.03.006 . hal-02192462

**HAL Id: hal-02192462**

**<https://hal.science/hal-02192462>**

Submitted on 18 Feb 2020

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# **Evolution of brain atrophy subtypes during aging predicts long-term cognitive decline and future Alzheimer's clinical syndrome**

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**Word count:** abstract: 169; article: 3735

**Tables/Figures:** 2 tables, 4 color figures

**References:** 42

## **Abstract**

It is currently unknown whether brain atrophy subtypes defined in Alzheimer's disease are clinically relevant during aging. We investigated participants (n=368) from a population-based cohort of non-demented older adults who received longitudinal neuropsychological assessments during 12 years. MRI scans at baseline and 4 years later were used to define participants with "hippocampal predominant atrophy", "cortical predominant atrophy", "homogenous atrophy" and "no evidence of brain subtype atrophy" based on the dynamics of hippocampal-to-cortical volume ratio evolution. After adjustment on age, gender, educational level and ApoE4 genotype, participants with "hippocampal predominant atrophy" declined faster regarding global cognition, verbal fluency and verbal episodic memory. In Cox proportional-hazards models, "hippocampal predominant atrophy" was associated with an increased risk of developing Alzheimer's clinical syndrome over time (HR=5.73; 95%CI 2.71–12.15), independently of age and ApoE4 genotype, the two other significant predictive factors. As a possible surrogate of confined tauopathy and early Alzheimer's disease pathology, future studies should consider the definition of "hippocampal predominant atrophy" based on hippocampal-to-cortical volume ratio evolution rather than hippocampal volume alone.

**Key words:** Hippocampus; Cortex; Imaging; Alzheimer; Aging; Cohort study

## 1. Introduction

The neurodegenerative process causing brain atrophy and cognitive impairment in Alzheimer's disease involves both the limbic system and neocortical areas. Hence, MRI plays a key role in the clinical assessment of patients with suspected Alzheimer's disease because regional atrophy can provide positive diagnostic information (Scheltens et al., 2016). However, the pattern and dynamics of brain atrophy in Alzheimer's disease are somewhat different according age at onset, clinical presentation, neuropsychiatric comorbidities, vascular risk factors and rate of decline (Dickerson et al., 2009; Dickerson et al., 2017).

Studies combining pre-mortem neuroimaging and post-mortem neuropathology have suggested that patterns of gray matter atrophy can be related to the topographic distribution and progression of tau neurofibrillary tangles (Gosche et al., 2002; Jack et al., 2002). Interestingly, recent cross-sectional MRI studies have been able to capture distinct neuropathologically defined subtypes of Alzheimer's disease regarding to the Murray-Dickson definition (Whitwell et al., 2012; Byun et al., 2015; Risacher et al., 2017). This categorization of Alzheimer's disease is based on an algorithm which classifies Alzheimer's disease cases into "typical", "hippocampal sparing" and "limbic predominant" patterns of neurofibrillary tangles distribution, using the ratio of hippocampal-to-cortical neurofibrillary tangles density (Murray et al., 2011). In these studies, an hippocampal sparing pattern of atrophy on MRI (correlating to an hippocampal sparing distribution of neurofibrillary tangles) was associated with a faster cognitive and functional decline (Murray et al., 2011; Whitwell et al., 2012; Risacher et al., 2017). However, it is currently unknown whether this rather simple categorization of brain atrophy subtypes is also clinically relevant in asymptomatic preclinical Alzheimer's disease (Dubois et al., 2014). Furthermore, none of these previous studies have really captured the dynamics of brain atrophy subtypes because they relied on cross-sectional analyses.

Although the precise timing remains elusive, due to the lack of longitudinal studies long enough at the presymptomatic stage of the disease, the current models of Alzheimer's disease pathophysiology postulate that neurofibrillary tangles appear years before the symptomatic phase of the disease (Braak and Braak, 1991; Jack et al., 2010; Sperling et al., 2011). Thus, based on the dynamics of hippocampal-to-cortical volume ratio evolution, this study aims at determining whether different subtypes of brain atrophy in older adults could predict differential cognitive decline and an increased risk of Alzheimer's clinical syndrome over time.

For that purpose, we studied a well-defined population-based cohort of older adults who underwent two MRI examinations at 4-year intervals and a neuropsychological and clinical follow-up during 12 years.

## **2. Methods**

### ***2.1 Study sample***

The data used in the following analyses were obtained from a subset of the Bordeaux sample of the three-city (3C) study, a longitudinal population-based cohort designed to evaluate risk factors of dementia (3C Study Group, 2003). During the 1999-2000 inclusion period, non-institutionalized individuals aged 65 and over were randomly recruited from electoral lists and followed prospectively for up to 12 years. From the initial cohort of participants with baseline MRI (n=663), only non-demented participants who agreed to have a second MRI 4 years later were included in the present analyses (n=368). Information regarding demographical characteristics and APoE4 genotype (carriers/non carrier: at least one allele) were also collected at baseline. All participants gave written informed consent to participate and the study protocol was approved by the ethics committee of Kremlin-Bicêtre University Hospital (Paris, France).

### ***2.2 Neuropsychological assessment and diagnosis of incident Alzheimer's clinical syndrom***

During the 12-year follow-up period, neuropsychological assessments were administered by trained psychologists at baseline and after 2, 4, 8, 10 and 12 years. The battery consisted of the Mini Mental State Evaluation (MMSE: global cognitive functions), the Free and Cued Selective Reminding Test (FCSRT: verbal episodic memory (sum of the number of words retrieved at the three free or cued trials)), the Benton Visual Retention Test (BVRT: visuospatial working memory), the Isaacs Set Test (IST: semantic fluency), and the Trail-Making Test part A and B (TMT-A and TMT-B: attention, information processing speed and executive functions ((number of correct moves/total time)x10)).

The diagnosis of dementia was pre-specified at home by a neuropsychologist, at each visit (2, 4, 8, 10 and 12 years). After this first assessment, a definitive diagnosis of dementia and of

possible or probable Alzheimer's disease was made by a panel of independent neurologists according to the DSM-IV criteria and the NINCDS-ADRDA criteria (McKhann et al., 1984). They were finally labeled "Alzheimer's clinical syndrome" according to the recent NIA-AA research framework recommendations (Jack et al., 2018a).

### ***2.3 MRI acquisition and processing***

MRI examinations were performed on a 1.5T Gyroscan Intera system (Philips Medical Systems) with a quadrature head coil. The morphological protocol consisted of three-dimensional (3D) high-resolution T1-weighted images acquired using magnetisation prepared rapid gradient echo (MPRAGE, TR=8.5 ms, TE=3.9 ms,  $\alpha=10^\circ$ , FOV=240 mm, voxel size=0.94 x 0.94 x 1 mm<sup>3</sup>). The same scanner and the same sequence were used for the baseline and the 4-year follow-up MRI.

For cortical and hippocampal volumetric analyses, T1-weighted images were processed using the volBrain system (<http://volbrain.upv.es>) (Manjón and Coupé, 2016). Recently, volBrain pipeline was compared with well-known tools used on MR brain analysis (SPM, FSL and Freesurfer) showing significant improvements in terms of both accuracy and reproducibility for intrascanner and interscanner scan-rescan acquisition (Manjón et al., 2010a) (Næss-Schmidt et al., 2016), even if it was not specifically designed to reduce intra-individual variability and noise with longitudinal registration approach. After denoising (Manjón et al., 2010b), images were corrected for inhomogeneity (Tustison et al., 2010), intensity-normalized (Nyúl and Udupa, 1999) and affine-registered into the Montreal Neurological Institute (MNI) space (Avants et al., 2011). Then, intracranial cavity was segmented using NICE method (Manjón et al., 2014) and tissue classification (including cortical segmentation) was performed using TMS method (Manjón et al., 2010b). The cortical gray matter volume was calculated as the global gray matter volume minus the deep gray matter volumes (i.e., caudate, thalamus, accumbens, globus pallidus, putamen, hippocampus, and amygdala) (Coupé et al., 2017). Hippocampus was automatically segmented with a patch-based multi-template method that uses expert manual segmentations in MNI space as priors (Coupé et al., 2011). Anatomical boundaries of the hippocampus were defined according to the European Alzheimer's Disease Consortium and Alzheimer's Disease Neuroimaging Initiative (EADC-ADNI) Harmonized Protocol (Frisoni et al., 2015). To control for variation in head size, hippocampal and cortical volumes were normalized using the intracranial cavity volume (ICV) of each subject, in order to express

volumes as a proportion of ICV.

The definition of longitudinal evolution of hippocampal-to-cortical volume ratio (HV/CTV ratio) was adapted from previous cross-sectional studies having investigated brain atrophy subtypes in Alzheimer's disease (also known as Murray-Dickson subtypes) because this MRI-based algorithm is able to reliably track the distribution of neurofibrillary tangles in Alzheimer's disease (Whitwell et al., 2012; Risacher et al., 2017). These previous studies defined "limbic predominant", "hippocampal sparing", and "typical" patterns of atrophy according to cross-sectional measures of brain volumes (a 2-steps procedure based on splitting participants according to: (1) the 25<sup>th</sup> and 75<sup>th</sup> percentiles of HV/CTV ratio and (2) the normalized median hippocampal and cortical volumes). Because in the present study, we performed our analyses on a population-based sample including healthy people, we categorized brain atrophy as follows: "hippocampal predominant atrophy", "cortical predominant atrophy", "homogenous atrophy" and "no evidence of specific brain subtype atrophy" based on the longitudinal evolution of this ratio. We calculated HV/CTV ratio on baseline MRI and 4-year follow-up MRI. The difference between these 2 ratios ( $\Delta(\text{HV/CTV ratio})$ ) was considered as a measure of the dynamics of preferential brain atrophy. Participants with  $\Delta(\text{HV/CTV ratios})$  below the 25<sup>th</sup> percentile were considered as having "cortical predominant atrophy" if their normalized cortical volume after 4-year follow-up was less than the median value of the whole group and if their normalized hippocampal volume was greater than the median volume of the whole group of participants. Participants with  $\Delta(\text{HV:CTV ratios})$  above the 75<sup>th</sup> percentile were considered as having "hippocampal predominant atrophy" if their normalized hippocampal volume after 4-year follow-up was less than the median value of the whole group and if their normalized cortical volume was greater than the median volume of the whole group of participants. Participants with  $\Delta(\text{HV:CTV ratios})$  between the 25<sup>th</sup> and the 75<sup>th</sup> percentile were considered as having "homogenous atrophy" if their normalized cortical volume after 4-year follow-up was less than the median value of the whole group and if their normalized hippocampal volume was less than the median volume of the whole group of participants. Finally, participants were considered as having "no evidence of specific brain subtype atrophy" if they were not classified in the previous categories (Fig. 1).

## ***2.4 Statistical analyses***

Statistical analyses were performed with Prism software 6 (Graphpad) and XLstats 19.4 (Addinsoft). The distribution of all continuous data was tested with the Shapiro-Wilk test. We first compared clinical and imaging characteristics at baseline between the 4 groups of participants by using the Chi-squared test for categorical variables, and ANOVA or Kruskal-Wallis test (when assumptions of ANOVA were not met) for continuous variables, followed by appropriate *post-hoc* multiple comparisons tests (Tukey-Kramer or Dunn test, respectively). Second, annual cognitive decline for each test has been calculated for each participant using linear mixed model and compared between groups using analyses of covariance (ANCOVA, using age, gender, educational level and ApoE4 genotype as covariates). Third, the association between brain atrophy subtype and incident Alzheimer's clinical syndrome at follow-up was tested using a log-rank test for trend comparing estimates of the Kaplan-Meier survival analysis. Finally, brain atrophy subtypes and usual risk factors of Alzheimer's disease (age, gender, educational level and APoE4 genotype) were tested to predict time to occurrence of possible or probable Alzheimer's disease using Cox proportional hazard models. All tests were two-sided, with a type I error set at  $\alpha=0.05$ .

### **3. Results**

#### ***3.1 Demographical, neuropsychological and MRI characteristics at baseline***

Out of the 368 participants included in the analyses, 34 (9.2%) met the criteria defining the “hippocampal predominant atrophy” subtype, 43 (11.7%) met the criteria for “cortical predominant atrophy” and 46 (12.5%) for “homogeneous atrophy”. Then, 245 participants (66.6%) were classified as having “no evidence of specific brain subtype atrophy”. The characteristics of the sample by atrophy subtypes at baseline are summarized in table 1. Participants with “hippocampal predominant atrophy” were significantly older than all the other groups ( $p=0.0026$ ) and had poorer performances on verbal episodic memory tests (both the free and total recall of the FCSRT,  $\eta^2=0.065$ ,  $p<0.001$  and  $\eta^2=0.089$ ,  $p<0.001$  respectively) and verbal fluency tests (both IST-15 and 60,  $\eta^2=0.024$ ,  $p=0.033$  and  $\eta^2=0.019$ ,  $p=0.043$  respectively). Participants with “hippocampal predominant atrophy” and “homogeneous atrophy” already had significant lower normalized hippocampal volumes at baseline (especially



the homogeneous atrophy group) ( $\eta^2=0.11$ ,  $p<0.001$ ). In contrast, the “cortical predominant atrophy” group did not differ from the other groups at baseline for cortical volumes (Table 1).

### ***3.2 MRI volumes after 4 years***

As expected on the MRI performed at 4-year follow-up, the “hippocampal predominant atrophy” and the “homogeneous atrophy” groups had significantly lower normalized hippocampal volumes (both 0.48% of intracranial cavity volume, compared to 0.56% and 0.54% in the two other groups,  $\eta^2=0.24$ ,  $p<0.001$ ). The “cortical predominant atrophy” and the “homogeneous atrophy” groups had significantly lower normalized cortical volumes on this follow-up MRI (37.1% and 38.3% respectively, compared to 42.7% and 40.0% in the “hippocampal predominant atrophy” group and the group with all other participants,  $\eta^2=0.13$ ,  $p<0.001$ ). Individual trajectories of normalized hippocampal and cortical volumes are depicted in figure 2.

### ***3.3 Cognitive decline over 12 years***

Out of the 368 participants included in the analyses, 343 (93.2%) were seen at least one time after the second MRI for a new neuropsychological assessment. Regarding participants loss to follow-up, there was no difference between brain atrophy subtypes, with 2 participants lost in the “hippocampal predominant atrophy” group, 3 in the “hippocampal predominant atrophy” group, 4 in the “homogeneous atrophy” group and 16 in the “no evidence of specific brain subtype atrophy” group (Chi-square test,  $p=0.95$ ) Among the 25 participants who were not seen after the second MRI, 18 had died.

For each neuropsychological test, the slope of cognitive decline over 12 years (assessment at baseline and after 2, 4, 8, 10 and 12 years) was modeled for each participant using mixed effect models and compared between groups after adjustment for age, gender, educational level and ApoE4 genotype (Fig. 3). We found that participants with “hippocampal predominant atrophy” decline faster than all other groups regarding global cognition (MMSE,  $\beta=0.13$ ,  $p=0.013$ ), verbal episodic memory (FCSRT free recall,  $\beta=0.12$ ,  $p=0.023$  and FCSRT total recall,  $\beta=0.15$ ,  $p=0.009$ ) and verbal fluency (IST-30,  $\beta=0.11$ ,  $p=0.030$  and IST-60,  $\beta=0.13$ ,  $p=0.011$ ). Participants with “homogeneous atrophy” decline faster than the other groups on a visuospatial

perception and memory test (BVRT,  $\beta=0.016$ ,  $p=0.015$ ). No atrophy subtype was associated with faster decline on attention or executive functions, regarding the TMT-A and TMT-B.

### **3.4 Incident Alzheimer's disease**

After 12 years, we identified 37 cases of Alzheimer's clinical syndrome, 3 cases of Parkinson's disease, 3 cases of possible or probable Lewy-body dementia, and 2 cases of probable frontotemporal lobar degeneration. The proportion of participants who develops Alzheimer's clinical syndrome was 44% in the "hippocampal predominant atrophy" group, 9% in the "cortical predominant atrophy" group, 17% in the "homogeneous atrophy" group and 7% in the "no evidence of specific brain subtype atrophy" group. Kaplan–Meier survival curves of time to incident Alzheimer's clinical syndrome comparing the four groups of brain atrophy subtypes are shown in Figure 4. Comparing to the 3 other groups, the log-rank test was significant for participants with "hippocampal predominant atrophy" ( $\chi^2=38.0$ ,  $p<0.001$ ).

The results of Cox proportional hazards models investigating the risk of developing Alzheimer's clinical syndrome in our cohort regarding age, gender, educational level, ApoE4 genotype and brain atrophy subtype are shown in table 2. "Hippocampal predominant atrophy" was associated with increased risk of developing Alzheimer's clinical syndrome over time ( $HR=5.73$ ; 95%CI 2.71 – 12.15), independently of age and ApoE genotype, the two other significant predictive factors (respectively  $HR=1.19$ ; CI95% 1.08 – 1.30 and  $HR=2.56$ ; 95%CI 1.27 – 5.16).

As a sensitivity analysis, we also studied the proportion of participants who develop Alzheimer's clinical syndrome only after the 4-year follow-up visit (*i.e* after the second MRI). The log-rank test was still significant for participants with "hippocampal predominant atrophy", compared to the 3 other groups ( $\chi^2=20.3$ ,  $p<0.001$ ). Regarding the Cox proportional hazards models investigating the risk of developing Alzheimer's clinical syndrome over time, "hippocampal predominant atrophy" was associated with increased risk after the 4-year follow-up visit ( $HR=6.00$ ; 95%CI 2.67 – 13.49) independently of the age and ApoE4 genotype.

When hippocampal and cortical atrophy rates were included as a continuous variable into the Cox proportional hazards models (instead of brain atrophy subtypes), these MRI measures were not significant to predict the incidence of Alzheimer's clinical syndromes, alone or in association with confounders.

## 4. Discussion

In this study, we have adapted the cross-sectional Murray-Dickson algorithm defining “typical”, “hippocampal sparing” and “limbic predominant” pathology in patients with Alzheimer’s disease (Murray et al., 2011; Whitwell et al., 2012; Byun et al., 2015; Risacher et al., 2017) to longitudinal MRI data gathered from a population-based cohort of non-demented elderly people with a long-term prospective neuropsychological follow-up. We found that participants with “hippocampal predominant atrophy” decline faster than all other groups regarding global cognition, verbal fluency and verbal episodic memory (even when compared to participants with “homogenous atrophy” who had smaller hippocampal volumes at baseline). Furthermore, we found that the “hippocampal predominant atrophy” group had a much higher risk to develop Alzheimer’s clinical syndrome over time, independently of age, gender, educational level and ApoE4 genotype.

MRI measures of differential hippocampal-to-cortical atrophy have been demonstrated to be a surrogate of neurofibrillary tangles deposits in neuropathologically-defined patients with Alzheimer’s disease (Whitwell et al., 2012). Thus, we can assume that the differential hippocampal vulnerability measured in the “hippocampal predominant atrophy” group is linked to the early tauopathy defining Braak stage I and II. In this study, which allows a real unbiased prospective assessment of the preclinical phase of Alzheimer’s disease, we demonstrated that this differential and specific hippocampal atrophy (related to cortical atrophy) can precede the diagnosis of dementia for up to 8-12 years. In this context, our results are a rare longitudinal and *in vivo* support of current models of Alzheimer’s disease pathophysiology (Jack et al., 2010; Sperling et al., 2011). Indeed, these models postulate that the spreading of neurofibrillary tangles occurs years before dementia, with a stereotypical pattern of early medial temporal lobe involvement (entorhinal cortex and hippocampus), followed by progressive neocortical damage, according to Braak staging (Braak and Braak, 1991). However, these conclusions were to date mostly based on cross-sectional studies, in which longitudinal changes were inferred by studying individuals at different stages of the disease (i.e., controls, mild cognitive impairment and Alzheimer’s disease groups) (Fotenos et al., 2005; Tabatabaei-Jafari et al., 2015).

Previous works studying the differential hippocampal-to-cortical distribution of neurofibrillary tangles or the hippocampal-to-cortical volume ratio in patients with Alzheimer's disease or Alzheimer's clinical syndrome concluded that a hippocampal sparing disease process was linked to poorer cognitive and functional prognoses, especially if cortical atrophy predominate in parietal lobes (Na et al., 2016; Risacher et al., 2017; Ten Kate et al., 2018). Indeed, "hippocampal sparing" damage define atypical variants of Alzheimer's disease, known to have faster evolutions due to impairment in executive functions, language or visuospatial abilities, which strongly impact autonomy (Scheltens et al., 2016). In contrast, in our cohort of elderly subjects, "hippocampal predominant atrophy" (rather than "cortical predominant" or "homogeneous atrophy") is associated with a poorer prognosis because it announces a typical form of Alzheimer's disease, by far the most common. Finally, we can conclude that the evolution of hippocampal-to-cortical volume ratio on MRI can be seen as both an early and a late marker of the disease process (Frisoni et al., 2010), with a two stages evolution. First, hippocampal predominant damage is deleterious during aging or the prodromal stage of the disease because it announces future Alzheimer's disease and then, predominant cortical involvement is deleterious in already diagnosed patients because it signs an atypical evolution or a cortical spreading of the pathophysiological process. Another explanation could be that our study "missed" future Alzheimer's disease with "cortical predominant atrophy" because of its inclusion criteria (individuals aged >65). Indeed, previous studies showed that "hippocampal sparing" Alzheimer's disease is associated with early-onset dementia ( $63 \pm 10$  years (Murray et al., 2011)).

Regarding neuropsychological functioning, "hippocampal predominant atrophy" group declined faster than all other groups on the IST, a test known to be one of the first to decline in the prodromal phase of Alzheimer's disease (Amieva et al., 2008) (even before tests such as the FCSRT that measures episodic memory and define the classical "amnesic syndrome of hippocampal type" in Alzheimer's disease (Sarazin et al., 2007; Auriacombe et al., 2010). Because IST is a multidetermined test, it has been postulated that its early decline in elderly people could be linked to executive functions and information processing speed impairment due to accelerated age-related damage or to semantic memory impairment due the insidious accumulation of neurofibrillary pathology in the temporal area (Amieva et al., 2008). Our results argue for the second hypothesis.

In our study, it is interesting to note that the "homogeneous atrophy" group had a lower hippocampal volume at baseline than the "hippocampal predominant atrophy" group. However,

the “homogeneous atrophy” group is not at higher risk to develop Alzheimer’s clinical syndrome than the “cortical predominant atrophy” group or the group of all other participants, because hippocampal atrophy may not be related to Alzheimer’s disease tauopathy in these cases. Furthermore, the rate of hippocampal atrophy alone was not a significant predictor of the incidence of Alzheimer’s clinical syndrome over time in Cox proportional hazards models (instead of brain atrophy subtype). Thus, it highlights that small hippocampal volumes *per se* are not real predictors of future Alzheimer’s clinical syndromes, but that the measure of a dynamic process leading to the differential atrophy of the hippocampus comparing to the neocortex could be a very good marker instead. These findings are in accordance with clinical practice where hippocampal atrophy in the elderly is known to be poorly specific of Alzheimer’s disease or prodromal Alzheimer’s disease because it also occurs in neurovascular diseases, neuro-inflammatory diseases, bipolar disorder and schizophrenia or other neurodegenerative processes, such as frontotemporal lobar dementia and Lewy body dementia (Harper et al., 2014). Then, we can envision that the measure of the evolution of hippocampal-to-cortical volume ratio in therapeutic clinical trials for preclinical Alzheimer’s disease could become a better biomarker than hippocampal volumetry alone, by assessing the dynamics of neurofibrillary tangles spreading. Furthermore, such anatomical classifications of brain atrophy subtypes could also help physicians to identify at-risk people with subjective memory impairment or mild cognitive impairment (Jung et al., 2016; Kim et al., 2019).

The strengths of this study are the large sample size, the 12-year follow-up period along with a large neuropsychological battery, the few lost to follow-up participants, the longitudinal measure of brain atrophy progression with the same scanner across both time points and the population-based, natural history design. Because the inclusion period of this study was 1999-2000, its first limitation is the lack of assessment of amyloid pathology using PET-imaging or CSF biomarkers, to explore the temporal link between hippocampal-to-cortical volume ratio evolution and amyloid- $\beta$  deposits. In addition, these biomarkers would have specified the diagnosis of Alzheimer’s disease, which was in this study only based on clinical criteria. However, the diagnosis of Alzheimer’s clinical syndrome (possible or probable Alzheimer’s disease according to 1984 and 2011 criteria) was done in our cohort by a panel of independent and expert neurologists, and are congruent with observations from the literature regarding for instance the larger ratio of subjects with incident Alzheimer’s disease presenting at least one ApoE  $\epsilon$ 4 allele. We also acknowledge that we have not clearly assessed what are the distinct characteristics of the “homogeneous atrophy” and “cortical predominant atrophy” groups, as

well as the cause of their distinct pattern of brain atrophy. Indeed, it could be explained by other ongoing neurodegenerative processes or by neurovascular or psychiatric diseases, known to drive slower cognitive decline than Alzheimer's disease. Future studies will need to address these points.

Our approach based on an indirect measure of the neurofibrillary tangles spreading in aging will be probably overcome by the use of PET-Tau imaging in the coming decade, if studies with a similar inclusion criteria and follow-up are set up. Regarding recent PET-Tau studies, it is indeed interesting to note the strong correlation between localized  $^{18}\text{F}$ -AV-1451 uptake and the longitudinal measures of medial temporal lobe atrophy while the correlation was rather weak regarding  $^{18}\text{F}$ -AV-1451 uptake and transversal volumetric analyses (Das et al., 2018). These data support the notion that *in vivo* measures of tau pathology are tightly linked to the local rate of neurodegenerative change measured with longitudinal MRI measures, and strongly support our findings. Furthermore, future longitudinal PET-Tau studies will be able to address fundamental questions regarding early Alzheimer's disease pathophysiology (Jack et al., 2018b). For instance, recent transversal multimodal studies on Alzheimer's disease patients or elderly people had reported exciting findings linking distant A $\beta$  and neurofibrillary tangles interactions with brain hypometabolism and atrophy (Sepulcre et al., 2016; Whitwell et al., 2018).

## 5. Conclusion

The monitoring of "hippocampal predominant atrophy" using hippocampal-to-cortical volume ratio on MRI appears to be a strong predictor of cognitive decline and incident Alzheimer's clinical syndrome, independently of age, gender, educational level and ApoE4 genotype. This rather simple morphometric analysis probably captures early lesions of tauopathy defining Braak stage I and II, a decade before Alzheimer's disease onset in non-demented people.

Longitudinal hippocampal-to-cortical volume ratio evolution could become a strong marker to disentangle brain changes in normal aging from the earliest signs of Alzheimer's disease.

## Tables

	Hippocampal predominant atrophy (n=34)	Cortical predominant atrophy (n=43)	Homogeneous atrophy (n=46)	Others (n=245)	<i>p</i> value <sup>b</sup>
<b>Demographical variables at baseline</b>					
1.6Age, mean (SD)	74.3 (3.9)* <sup>†‡</sup>	71.1 (3.1)	72.0 (3.6)	72.2 (3.9)	0.0026
Gender, women, %	50.0%	48.8%	42.6%*	63.4%	0.029
ApoE (ε4 +/- or +/-), %	29.4%	18.6%	11.1%	22.4%	0.21
Education level, high <sup>a</sup> , %	44.1%	39.5%	50.0%	48.0%	0.43
<b>Neuropsychological tests at baseline</b>					
MMSE, median [range]	28 [24 - 30]	29 [25 - 30]	29 [24 - 30]	28 [24 - 30]	0.091
FCSRT, free recall, mean (SD)	20.5 (7.2) ** <sup>†††‡‡</sup>	27.0 (5.1)	24.8 (6.3)	25.1 (5.6)	<0.001
FCSRT, total recall, median [range]	43 [19 - 48]* <sup>†</sup>	46 [37 - 48]	46 [33 - 48]	46 [30 - 48]	<0.001
BVRT, median [range]	11 [6 - 15]	12 [8 - 15]	12 [7 - 15]	12 [6 - 15]	0.067
Isaac set test 15s, mean (SD)	28.9 (5.1)*	30.5 (4.4)	30.8 (5.6)	31.8 (6.1)	0.033
Isaac set test 30s, mean (SD)	43.4 (8.0)	47.5 (6.9)	47.9 (8.4)	47.8 (9.7)	0.074
Isaac set test 60s, mean (SD)	63.2 (14.5)*	70.4 (10.6)	71.0 (13.8)	70.9 (15.4)	0.043
TMT-A, mean (SD)	4.7 (1.4)	5.1 (1.5)	5.0 (1.4)	4.8 (1.5)	0.49
TMT-B, mean (SD)	2.4 (1.1)	2.0 (1.0)	2.4 (1.1)	2.3 (1.2)	0.31
<b>MRI volumes at baseline</b>					
Hippocampal volume, mean % ICV (SD)	0.54 (0.07) <sup>†</sup>	0.58 (0.03)	0.51 (0.05) ** <sup>††</sup>	0.56 (0.01)	<0.001
Cortical volume, mean % ICV (SD)	40.1 (7.2)	42.1 (2.2)	40.1 (2.6)	39.8 (6.3)	0.12

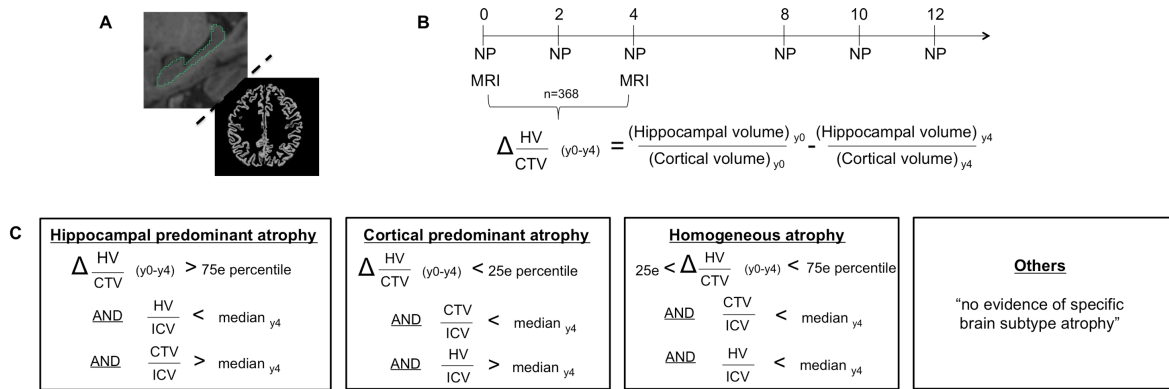
**Table 1: Clinical, neuropsychological, and MRI features of the studied populations at baseline.** Benson Visual Retention Test ; FCSRT : Free and Cued Selective Reminding Test ; ICV : IntraCranial Volume; MMSE : Mini Mental State Examination ; SD : Standard deviation ; TMT : Trail Making Test. a : Education level was considered as high or low according to French baccalaureate (equivalent to A-level). b: *p*-values refer to Chi-squared test for categorical variables, and ANOVA or Kruskal-Wallis test for ordinal variables. \**p*<0.05 and \*\**p*<0.01 vs “others”; <sup>†</sup>*p*<0.05, <sup>††</sup>*p*<0.01 and <sup>†††</sup>*p*<0.001 vs “cortical predominant atrophy”; <sup>‡</sup>*p*<0.05 and <sup>‡‡</sup>*p*<0.01 vs “homogeneous atrophy” (Chi square test or *post-hoc* Tukey-Kramer or Dunn tests, as appropriate).



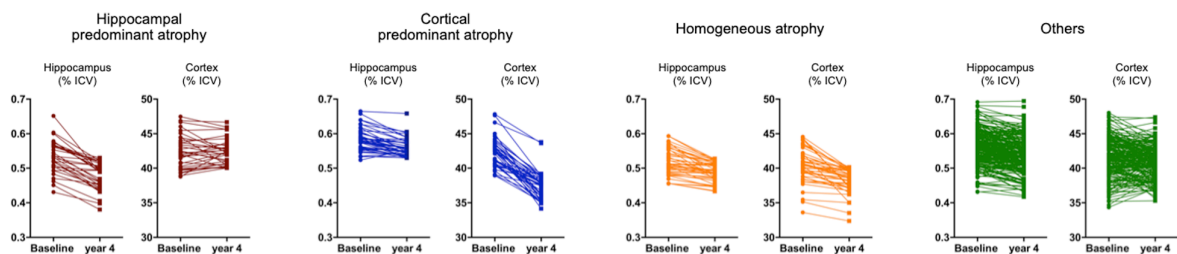
	<b>Hazard ratio</b>	<b>95% CI</b>
<b>Model 1</b>		
Age	1.20	1.10 – 1.30
Gender	ns	ns
Education level	ns	ns
ApoE4 genotype	2.43	1.22 – 4.85
<b>Model 2</b>		
Age	1.19	1.08 – 1.30
Gender	ns	ns
Education level	ns	ns
ApoE4 genotype	2.56	1.27 – 5.16
Homogeneous atrophy	ns	ns
Cortical predominant atrophy	ns	ns
Hippocampal predominant atrophy	5.73	2.71 – 12.15

**Table 2 : Predictive values of age, gender, educational level, ApoE4 genotype alone (model 1) and in combination with brain atrophy subtype (model 2) on time to incident Alzheimer's disease (Cox models, n = 368).**

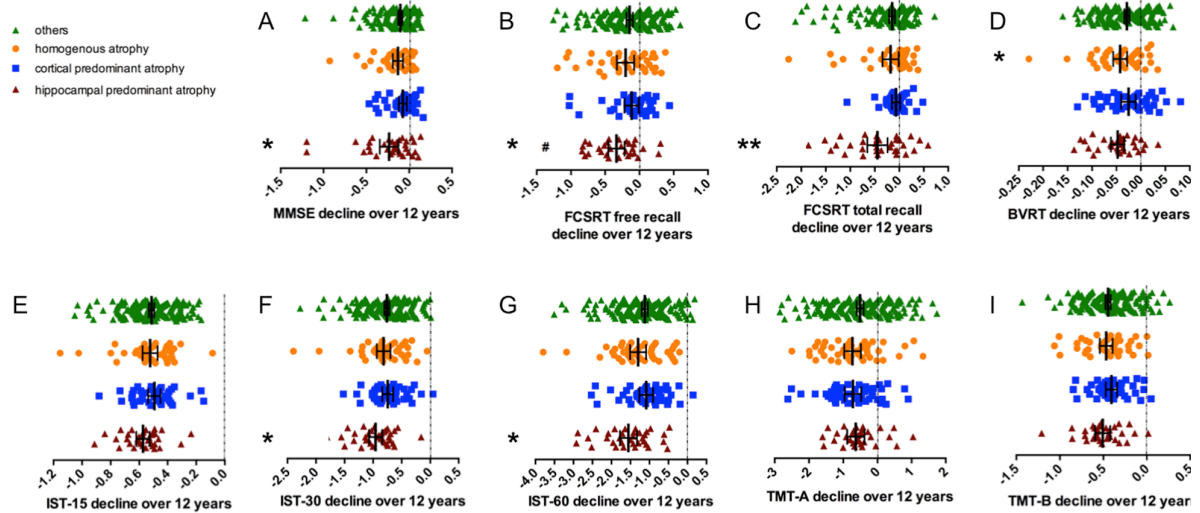
## Figures



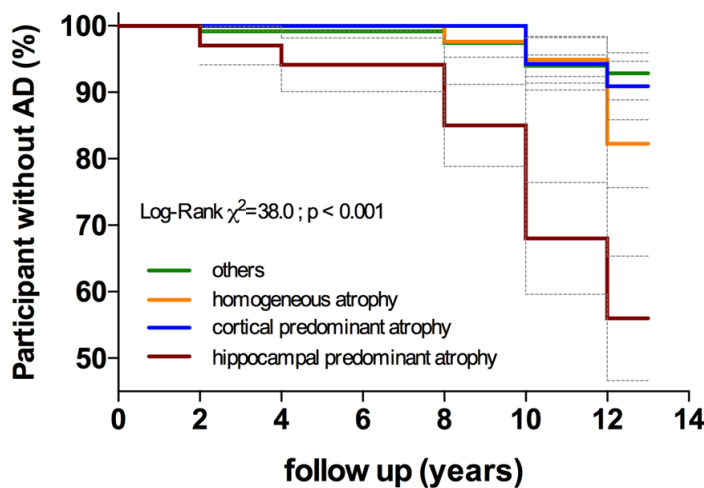
**Figure 1. Methodology of the study.** **A.** Hippocampal and cortical volumes were measured using the Volbrain software and hippocampal-to-cortical volume ratio (HV/CTV) was calculated. **B.** During the 12-year follow-up period, neuropsychological assessments were administered at baseline and after 2, 4, 8, 10 and 12 years. We measured HV/CTV on baseline MRI and on 4-year follow-up MRI. The difference between these 2 ratios ( $\Delta(HV/CTV)$ ) was considered as a measure of the dynamics of preferential brain atrophy. **C.** The dynamics of brain atrophy was defined according to an algorithm adapted from one recently proposed for tau neuropathology. We defined 4 groups of participants, with either “hippocampal predominant atrophy”, “cortical predominant atrophy”, “homogenous atrophy” or “no evidence of specific brain subtype atrophy” regarding  $\Delta(HV/CTV)$  and normalized hippocampal and cortical volumes (see methods). CTV: CorTical Volume; HV: Hippocampal Volume; ICV: Intracranial Volume; NP: NeuroPsycho logical assessment.



**Figure 2: Spaghetti plots of hippocampal and cortical volumes at baseline and 4 years later.** Each line represents one participant. ICV: Intracranial Volume



**Figure 3. Dot plots representation of cognitive decline over 12 years in the 4 groups of participants.** Dots represent the values of individual slope. BVRT: Benton Visual Retention Test; FCSRT: Free and Cued Selective Reminding Test; IST: Isaacs Set Test (15, 30 and 60 seconds), MMSE: Mini Mental State Examination; TMT: Trail-Making Test (part A and B). Dots represent individual value of longitudinal cognitive decline (mixed effects model) and lines represent the mean decline of the group  $\pm$  SEM. Longitudinal cognitive decline between groups was compared using analyses of covariance with age, gender, educational level and ApoE genotype as covariates: \* $p < 0.05$ , \*\* $p < 0.01$ .



**Figure 4. Kaplan-Meier survival curves representing the incidence of Alzheimer's disease during the 12-year follow-up period, regarding the dynamics of initial brain subtype atrophy.** The dashed lines represent standard errors.

## **Acknowledgements and Funding**

The 3C Study is conducted under a partnership agreement among the *Institut National de la Santé et de la Recherche Médicale* (INSERM), Bordeaux University, and Sanofi. The *Fondation pour la Recherche Médicale* funded the preparation and initiation of the study. The 3C Study is also supported by *Caisse Nationale Maladie des Travailleurs Salariés*, *Direction Générale de la Santé*, *Mutuelle Générale de l'Education Nationale*, *Institut de la Longévité*, *Conseils Régionaux d'Aquitaine et Bourgogne*, *Fondation de France*, and the Ministry of Research-INSERM Programme “*Cohortes et collections de données biologiques*”. The 10-year and 12-year follow-up have been funded by ANR 2007LVIE 003 and “*Fondation Plan Alzheimer*”. This study was also achieved within the context of Human Brain Project, the Laboratory of Excellence TRAIL ANR-10-LABX-57 for the BigDataBrain project and the Investments for the future Program IdEx Bordeaux (ANR-10-IDEX- 03- 02, HL-MRI Project), Cluster of excellence CPU and the CNRS. VP also received grants from Fondation Bettencourt Schueller (CCA-Inserm-Bettencourt). The sponsors did not participate in any aspect of the design or performance of the study, including data collection, management, analysis, and the interpretation or preparation, review, and approval of the manuscript.

## **Author Contributions**

Conception and design of the study: VP, FT, JFD and GC. Acquisition and analysis of data: VP, PC, CH, MLG, HA, JFD and GC. Drafting the manuscript: VP and GC. Revision of the manuscript for important intellectual contents: all authors.

## **Potential Conflicts of Interest**

The authors declare no competing financial interests relative to the present study.

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